

United States Senate

Financial Disclosures

Candidate Report

Mr. Matt Dolan

State of Candidacy: OH | Candidacy Commenced: 01/19/2023

📁 Filed 08/14/2023 @ 1:43 PM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
 - ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
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☐ I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200, or donate any amount to a charity on your or your spouse's behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid	Comments
1	Self	Salary	Thrasher Dinsmore & Dolan Chardon, OH	\$2,025.00	n/a
2	Self	Salary	Cleveland Guardians Baseball Company Cleveland, OH	\$20,751.00	n/a
3	Self	Salary	State of Ohio Columbus, OH	\$123,516.00	n/a
4	Self	Other (Trustee)	CFD Children's Trust 2009 FBO Marianne Yorktown Heights, NY	\$5,000.00	n/a
5	Self	Other (Trustee)	CFD Children Trust FBO Thomas C. Dolan Woodbury, NY	\$5,000.00	n/a
6	Self	Salary	7th Avenue Management Ltd. Chardon, OH	\$21,000.00	n/a

Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than \$1,000 or generated income of more than \$200? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	Chase Bank (Willoughby Hills, OH) <i>Type: Checking, Savings,</i>	Bank Deposit	Self	\$50,001 - \$100,000	Interest,	None (or less than \$201)
2	JP Morgan Brokerage Account	Brokerage/Managed Account	Self			
2.1	<u>FXAIX</u> - Fidelity 500 Index Fund	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
2.2	<u>BBCA</u> - JPMorgan BetaBuilders Canada ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
2.3	<u>BBAX</u> - JPM Betabuilders Developed Asia-Ex Japan ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
2.4	<u>BBEU</u> - Jpmorgan Betabuilders Europe ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
2.5	<u>BBJP</u> - Jpmorgan Betabuilders Japan ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
2.6	<u>CIUEX</u> - Six Circles International Unconstrained Equity F	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
2.7	<u>CUSUX</u> - Six Circles US Unconstrained Equity Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
2.8	<u>MBB</u> - iShares MBS ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
2.9	<u>JCPUX</u> - Jpmorgan Core Plus Bond Fund R6	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$2,501 - \$5,000

	Asset	Asset Type	Owner	Value	Income Type	Income
2.10	<u>CGLBX</u> - Six Circles Global Bond Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
2.11	<u>CUSD</u>X - Six Circles Ultra Short Duration Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
2.12	<u>CRDO</u>X - Six Circles Credit Opportunities Fund	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
2.13	<u>VBTL</u>X - Vanguard Total Bond Market Index Fund Admiral Sh	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
2.14	<u>VTAB</u>X - Vanguard Total International Bond Index Fd Admir	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
2.15	<u>VGSH</u> - Vanguard Short-Term Treasury ETF	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
2.16	<u>BSV</u> - Vanguard Short-Term Bond ETF	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
2.17	<u>BND</u> - Vanguard Total Bond Market ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
2.18	<u>BNDX</u> - Vanguard Total International Bond ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
2.19	<u>IEF</u> - iShares 7-10 Year Treasury Bond ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
2.20	<u>GOVT</u> - iShares U.S. Treasury Bond ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
2.21	PIMIX - Pimco Income Fund Insti Class	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
2.22	JP Morgan (Chargrin Falls, OH) <i>Type: Money Market Account,</i>	Bank Deposit	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3	Ameriprise Financial Brokerage Account	Brokerage/Managed Account	Self			
3.1	Ameriprise Financial (Westlake, OH) <i>Type: Money Market Account,</i>	Bank Deposit	Self	\$15,001 - \$50,000	Interest,	None (or less than \$201)
3.2	PGNAX - PGIM Jennison Natural Resources Fund Class A	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
3.3	AMCX - AMC Networks Inc. - Class A Common Stock	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.4	MSGG - Madison Square Garden Sports Corp. Class A Common	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.5	MSGE - Madison Square Garden Entertainment Corp. Class A	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
3.6	Frontier Diversified CL 3 <i>Filer comment: Diversified Investments</i>	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Excepted Investment Fund,	None (or less than \$201)
3.7	SPHR - Sphere Entertainment CO	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends,	None (or less than \$201)
4	Ameriprise Financial UTMA Account	UGMA/UTMA	Child			

	Asset	Asset Type	Owner	Value	Income Type	Income
4.1	<u>MAGWX</u> - MFS Growth Allocation Fund - Class A	Mutual Funds Mutual Fund	Child	\$15,001 - \$50,000	Dividends, Capital Gains,	\$1,001 - \$2,500
4.2	<u>AMCX</u> - AMC Networks Inc. - Class A Common Stock	Corporate Securities Stock	Child	\$1,001 - \$15,000	None,	None (or less than \$201)
4.3	<u>MSGG</u> - Madison Square Garden Sports Corp. Class A Common	Corporate Securities Stock	Child	\$1,001 - \$15,000	None,	None (or less than \$201)
4.4	<u>MSGE</u> - Madison Square Garden Entertainment Corp. Class A	Corporate Securities Stock	Child	\$1,001 - \$15,000	None,	None (or less than \$201)
4.5	Ameriprise Financial (Westlake, OH) <i>Type: Money Market Account,</i>	Bank Deposit	Child	\$1,001 - \$15,000	Interest,	None (or less than \$201)
4.6	<u>SPHR</u> - Sphere Entertainment CO	Corporate Securities Stock	Child	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
5	Ohio 529 Account <i>Institution: Ameriprise Financial</i>	Education Savings Plans 529 College Savings Plan	Self			
5.1	<u>BOGCX</u> - Blackrock Growth Portfolio Option CI C	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
5.2	<u>BOGAX</u> - Blackrock Growth Portfolio Option CI A	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6	Morgan Stanley CFD Trust No. 8-D	Trust General Trust	Self			
6.1	<u>AAP</u> - Advance Auto Parts Inc.	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.2	<u>AAPL</u> - Apple Inc. - Common Stock	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends, Capital Gains,	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.3	<u>ABBV</u> - AbbVie Inc. Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
6.4	<u>ABC</u> - AmerisourceBergen Corporation Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
6.5	<u>ABT</u> - Abbott Laboratories Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
6.6	<u>ACN</u> - Accenture plc Class A Ordinary Shares (Ireland)	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	None (or less than \$201)
6.7	<u>ADBE</u> - Adobe Inc. - Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.8	<u>ADI</u> - Analog Devices, Inc. - Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$5,001 - \$15,000
6.9	<u>AJG</u> - Arthur J. Gallagher & Co. Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
6.10	<u>ALC</u> - Alcon Inc. Ordinary Shares	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
6.11	<u>ALLE</u> - Allegion plc Ordinary Shares	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.12	<u>AMGN</u> - Amgen Inc. - Common Stock	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	\$201 - \$1,000
6.13	<u>AMZN</u> - Amazon.com, Inc. - Common Stock	Corporate Securities Stock	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
6.14	<u>ANSS</u> - ANSYS, Inc. - Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.15	<u>APD</u> - Air Products and Chemicals, Inc. Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$5,001 - \$15,000

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6.16	<u>APT</u> - Aptiv PLC Ordinary Shares	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.17	<u>ARE</u> - Alexandria Real Estate Equities, Inc. Common Stock	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
6.18	<u>ASML</u> - ASML Holding N.V. - New York Registry Shares	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.19	<u>AVB</u> - AvalonBay Communities, Inc. Common Stock	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.20	<u>AXP</u> - American Express Company Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$2,501 - \$5,000
6.21	<u>AZPN</u> - Aspen Technology, Inc. - Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$2,501 - \$5,000
6.22	<u>BABA</u> - Alibaba Group Holding Limited American Depositary	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
6.23	<u>BAC</u> - Bank of America Corporation Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.24	<u>BBY</u> - Best Buy Co., Inc. Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.25	<u>BDX</u> - Becton, Dickinson and Company Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
6.26	<u>BFAM</u> - Bright Horizons Family Solutions Inc. Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
6.27	<u>BLK</u> - BlackRock, Inc. Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.28	<u>BMRN</u> - BioMarin Pharmaceutical Inc. - Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.29	<u>BMJ</u> - Bristol-Myers Squibb Company Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$1,001 - \$2,500
6.30	<u>BSY</u> - Bentley Systems, Incorporated - Class B Common Sto	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$15,001 - \$50,000
6.31	<u>BXP</u> - Boston Properties, Inc. Common Stock	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.32	<u>C</u> - Citigroup, Inc. Common Stock	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.33	<u>CB</u> - Chubb Limited Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
6.34	<u>CDW</u> - CDW Corporation - Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.35	<u>CHE</u> - Chemed Corp	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$1,001 - \$2,500
6.36	<u>CI</u> - Cigna Corporation Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
6.37	<u>CMCSA</u> - Comcast Corporation - Class A Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.38	<u>CME</u> - CME Group Inc. - Class A Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)

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6.39	<u>CMS</u> - CMS Energy Corporation Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.40	<u>COF</u> - Capital One Financial Corporation Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.41	<u>COO</u> - The Cooper Companies, Inc. Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.42	<u>COP</u> - ConocoPhillips Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$15,001 - \$50,000
6.43	<u>CPRT</u> - Copart, Inc. - Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.44	<u>CRL</u> - Charles River Laboratories International, Inc. Com	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.45	<u>CRM</u> - Salesforce.com Inc Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.46	<u>CVS</u> - CVS Health Corporation Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.47	<u>CVX</u> - Chevron Corporation Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
6.48	<u>DCT</u> - Duck Creek Technologies, Inc. - Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.49	<u>DE</u> - Deere & Company Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
6.50	<u>DIS</u> - Walt Disney Company (The) Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
6.51	<u>DOV</u> - Dover Corporation Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.52	<u>DXCM</u> - DexCom, Inc. - Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.53	<u>EFX</u> - Equifax, Inc. Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.54	<u>ELAN</u> - Elanco Animal Health Incorporated Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.55	<u>EOG</u> - EOG Resources, Inc. Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$15,001 - \$50,000
6.56	<u>EQIX</u> - Equinix, Inc. - Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.57	<u>ETN</u> - Eaton Corporation, PLC Ordinary Shares	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$5,001 - \$15,000
6.58	<u>EXPO</u> - Exponent, Inc. - Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.59	<u>FB</u> - Meta Platforms, Inc. - Class A Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.60	<u>FICO</u> - Fair Isaac Corproation Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$15,001 - \$50,000
6.61	<u>FIS</u> - Fidelity National Information Services, Inc. Commo	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.62	<u>GD</u> - General Dynamics Corporation Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$2,501 - \$5,000
6.63	<u>GLW</u> - Corning Incorporated Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)

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6.64	<u>GPS</u> - Gap, Inc. (The) Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.65	<u>GWW</u> - W.W. Grainger, Inc. Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$15,001 - \$50,000
6.66	<u>HAS</u> - Hasbro, Inc. - Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.67	<u>HD</u> - Home Depot, Inc. (The) Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.68	<u>HIG</u> - Hartford Financial Services Group, Inc. (The) Comm	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$1,001 - \$2,500
6.69	<u>IBKR</u> - Interactive Brokers Group, Inc. - Class A Common S	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$1,001 - \$2,500
6.70	<u>IBM</u> - International Business Machines Corporation Common	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$1,001 - \$2,500
6.71	<u>INFO</u> - IHS Markit Ltd. Common Shares	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.72	<u>INTC</u> - Intel Corporation - Common Stock	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.73	<u>ISRG</u> - Intuitive Surgical, Inc. - Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$201 - \$1,000
6.74	<u>JKHY</u> - Jack Henry & Associates, Inc. - Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$2,501 - \$5,000
6.75	<u>JNJ</u> - Johnson & Johnson Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$201 - \$1,000

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6.76	KO - Coca-Cola Company (The) Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$1,001 - \$2,500
6.77	LII - Lennox International, Inc. Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.78	LLY - Eli Lilly and Company Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$15,001 - \$50,000
6.79	LPLA - LPL Financial Holdings Inc. - Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$15,001 - \$50,000
6.80	MCD - McDonald's Corporation Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
6.81	MDLZ - Mondelez International, Inc. - Class A Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
6.82	MDT - Medtronic plc. Ordinary Shares	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.83	MET - MetLife, Inc. Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.84	MMC - Marsh & McLennan Companies, Inc. Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$5,001 - \$15,000
6.85	MNST - Monster Beverage Corporation - Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
6.86	MRK - Merck & Company, Inc. Common Stock (new)	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
6.87	MS - Morgan Stanley Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)

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6.88	<u>MSCI</u> - MSCI Inc Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.89	<u>MSFT</u> - Microsoft Corporation - Common Stock	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends, Capital Gains,	\$5,001 - \$15,000
6.90	<u>NDSN</u> - Nordson Corporation - Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.91	<u>NEE</u> - NextEra Energy, Inc. Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.92	<u>NFLX</u> - Netflix, Inc. - Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.93	<u>NKE</u> - Nike, Inc. Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.94	<u>NOC</u> - Northrop Grumman Corporation Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
6.95	<u>NSC</u> - Norfolk Southern Corporation Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.96	<u>NTRS</u> - Northern Trust Corporation - Common Stock	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.97	<u>NVDA</u> - NVIDIA Corporation - Common Stock	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends, Capital Gains,	\$15,001 - \$50,000
6.98	<u>NXPI</u> - NXP Semiconductors N.V. - Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$201 - \$1,000
6.99	<u>O</u> - Realty Income Corporation Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
6.100	PANW - Palo Alto Networks, Inc. - Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$5,001 - \$15,000
6.101	PATH - UiPath, Inc. Class A Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.102	PEG - Public Service Enterprise Group Incorporated Commo	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$201 - \$1,000
6.103	PEP - PepsiCo, Inc. - Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$2,501 - \$5,000
6.104	PFE - Pfizer, Inc. Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.105	PG - Procter & Gamble Company (The) Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.106	PGR - Progressive Corporation (The) Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
6.107	PH - Parker-Hannifin Corporation Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
6.108	PLD - Prologis, Inc. Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.109	PM - Philip Morris International Inc Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$5,001 - \$15,000
6.110	PNC - PNC Financial Services Group, Inc. (The) Common St	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.111	POOL - Pool Corporation - Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.112	PPG - PPG Industries, Inc. Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	None, Dividends,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
6.113	PYPL - PayPal Holdings, Inc. - Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.114	ROL - Rollins, Inc. Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
6.115	RSG - Republic Services, Inc. Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$2,501 - \$5,000
6.116	RTX - Raytheon Technologies Corporation Common Stock	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends, Capital Gains,	\$15,001 - \$50,000
6.117	SBUX - Starbucks Corporation - Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$201 - \$1,000
6.118	SCHW - Charles Schwab Corporation (The) Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.119	SE - Sea Limited American Depositary Shares, each repre	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.120	SITE - SiteOne Landscape Supply, Inc. Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.121	SMG - Scotts Miracle-Gro Company (The) Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.122	SPLK - Splunk Inc. - Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.123	STX - Seagate Technology Holdings PLC - Ordinary Shares	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.124	SYU - Sysco Corporation Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
6.125	<u>TDY</u> - Teledyne Technologies Incorporated Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.126	<u>TEAM</u> - Atlassian Corporation Plc - Class A Ordinary Share	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.127	<u>TER</u> - Teradyne, Inc. - Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.128	<u>TFC</u> - Truist Financial Corporation Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.129	<u>THO</u> - Thor Industries, Inc. Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.130	<u>TJX</u> - TJX Companies, Inc. (The) Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$5,001 - \$15,000
6.131	<u>TMO</u> - Thermo Fisher Scientific Inc Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.132	<u>TROW</u> - T. Rowe Price Group, Inc. - Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.133	<u>TSCO</u> - Tractor Supply Company - Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.134	<u>TT</u> - Trane Technologies plc	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.135	<u>TXN</u> - Texas Instruments Incorporated - Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
6.136	UBER - Uber Technologies, Inc. Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.137	ULTA - Ulta Beauty, Inc. - Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.138	UNH - UnitedHealth Group Incorporated Common Stock (DE)	Corporate Securities Stock	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
6.139	UPS - United Parcel Service, Inc. Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.140	USB - U.S. Bancorp Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.141	V - Visa Inc.	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$5,001 - \$15,000
6.142	VFC - V.F. Corporation Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.143	VLO - Valero Energy Corporation Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$201 - \$1,000
6.144	VTR - Ventas, Inc. Common Stock	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.145	VZ - Verizon Communications Inc. Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.146	WDAY - Workday, Inc. - Class A Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.147	WMT - Walmart Inc. Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
6.148	WRB - W.R. Berkley Corporation Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
6.149	XEL - Xcel Energy Inc. - Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
6.150	<u>ZBRA</u> - Zebra Technologies Corporation - Class A Common St	Corporate Securities Stock	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.151	<u>ZTS</u> - Zoetis Inc. Class A Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.152	<u>EFAV</u> - iShares MSCI EAFE Min Vol Factor ETF	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.153	<u>GUG</u> - Guggenheim Active Allocation Fund Common Shares of	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than \$1,001)	Dividends, Capital Gains,	None (or less than \$201)
6.154	<u>IVE</u> - iShares S&P 500 Value ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$250,001 - \$500,000	Dividends, Capital Gains,	\$15,001 - \$50,000
6.155	<u>IVW</u> - iShares S&P 500 Growth ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
6.156	<u>ANGLX</u> - Angel Oak Multi-Strategy Income Fd Cl A	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.157	<u>BMSIX</u> - Blackrock Credit Strategies Income Fund - Instit	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.158	<u>BWDIX</u> - Boyd Watterson Limited Duration Enhanced Income	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.159	<u>CCCNX</u> - Center Coast Brookfield MLP Focus Fund - Class Y	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Dividends, Capital Gains,	\$15,001 - \$50,000
6.160	<u>EIHYX</u> - Eaton Vance High Yield Municipal Income Fund Cla	Mutual Funds Mutual Fund	Self	\$500,001 - \$1,000,000	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
6.161	<u>FLTRX</u> - Nuveen Flagship Limited Term Municipal Bond Fd C	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.162	<u>FRIRX</u> - Fidelity Advisor Real Estate Income Fund	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
6.163	<u>JEMSX</u> - Jpmorgan Emerging Markets Equity Fund I Class	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.164	<u>LRFRX</u> - Lord Abbett Inv TR Lord Abbett Floating Rate Fd	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.165	<u>MDIIX</u> - MFS International Diversification Fund Class I	Mutual Funds Mutual Fund	Self	\$500,001 - \$1,000,000	None,	None (or less than \$201)
6.166	<u>NXOHX</u> - Nuveen Flagship Ohio Muni Bd Fd CI R	Mutual Funds Mutual Fund	Self	\$250,001 - \$500,000	None,	None (or less than \$201)
6.167	<u>PDBZX</u> - PGIM Total Return Bond Fund Class Z	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.168	<u>STWTX</u> - Hartford Schroders Tax-Aware Bond Fund [Class I]	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.169	<u>TIMUX</u> - Transamerica Enhanced Muni Class I	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.170	<u>COST</u> - Costco Wholesale Corporation - Common Stock	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
6.171	The Boeing Co <i>Rate/Coupon: 2.196</i> <i>Matures: 02/04/2026</i>	Corporate Securities Corporate Bond	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.172	Broadcom Inc <i>Rate/Coupon: 3.150</i> <i>Matures: 11/15/2025</i>	Corporate Securities Corporate Bond	Self	\$100,001 - \$250,000	Interest,	\$2,501 - \$5,000
6.173	Cisco Systems Inc <i>Rate/Coupon: 2.6</i> <i>Matures: 08/28/2023</i>	Corporate Securities Corporate Bond	Self	None (or less than \$1,001)	Interest,	\$201 - \$1,000
6.174	Fifth Third Bancorp <i>Rate/Coupon: 3.950</i> <i>Matures: 03/14/2028</i>	Corporate Securities Corporate Bond	Self	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
6.175	JPMorgan Chase & Co <i>Rate/Coupon: 3.125</i> <i>Matures: 01/23/2025</i>	Corporate Securities Corporate Bond	Self	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
6.176	Pepsico Inc <i>Rate/Coupon: 2.85</i> <i>Matures: 02/24/2026</i>	Corporate Securities Corporate Bond	Self	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
6.177	TWDC Enterprises <i>Rate/Coupon: 2.550</i> <i>Matures: 02/15/2022</i>	Corporate Securities Corporate Bond	Self	None (or less than \$1,001)	Interest,	None (or less than \$201)
6.178	Charles Schwab Corp <i>Rate/Coupon: 3.450</i> <i>Matures: 02/13/2026</i>	Corporate Securities Corporate Bond	Self	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
6.179	Microsoft Corp <i>Rate/Coupon: 2.875</i> <i>Matures: 02/06/2024</i>	Corporate Securities Corporate Bond	Self	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
6.180	Morgan Stanley <i>Rate/Coupon: 3.125</i> <i>Matures: 1/23/2023</i>	Corporate Securities Corporate Bond	Self	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
6.181	Abbvie Inc <i>Rate/Coupon: 2.95</i> <i>Matures: 11/21/2026</i>	Corporate Securities Corporate Bond	Self	\$100,001 - \$250,000	Interest,	\$2,501 - \$5,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.182	BENTONVILLE ARK SCH DIST NO 006 CONSTR REF-B <i>Rate/Coupon: 5.0 Matures: 06/01/2023</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$2,501 - \$5,000
6.183	BRYSON TEX INDPT SCH DIST ULTD TAX REF BDS PSF-GTD <i>Rate/Coupon: 5.0 Matures: 08/15/2025</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest,	\$5,001 - \$15,000
6.184	CADDO MILLS TEX INDPT SCH DIST PSF- GTD ID 02-01-21 <i>Rate/Coupon: 4.0 Matures: 08/15/2027</i>	Government Securities Municipal Security	Self	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
6.185	CALEDONIA MICH CMNTY SCHS BLDG SITE GENL OBLIG SER-I <i>Rate/Coupon: 5.0 Matures: 05/01/2026</i>	Government Securities Municipal Security	Self	\$15,001 - \$50,000	Interest,	\$2,501 - \$5,000
6.186	CARROLLTON TEX <i>Rate/Coupon: 5.0 Matures: 08/15/2026</i>	Government Securities Municipal Security	Self	\$15,001 - \$50,000	Interest,	\$5,001 - \$15,000
6.187	CENTRE CNTY PA HOSP AUTH REV <i>Rate/Coupon: 5.0 Matures: 11/15/2023</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.188	HOUSTON TX PUB IMPT GENL OBLIG REF-A <i>Rate/Coupon: 5.0 Matures: 03/01/2023</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
6.189	CLARK CNTY NEV BD BK GENL OBLIG REF <i>Rate/Coupon: 5.0 Matures: 11/01/2022</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$201 - \$1,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.190	COOK CNTY ILL SCH DIST 097 OAK PK <i>Rate/Coupon: 4.0</i> <i>Matures: 01/01/2026</i>	Government Securities Municipal Security	Self	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
6.191	CORPUS CHRISTI TEX UTIL SYS JR LIEN AND IMPT REV REF <i>Rate/Coupon: 5.0</i> <i>Matures: 07/15/2023</i>	Government Securities Municipal Security	Self	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
6.192	GARLAND TEX INDPT SCH DIST ID 05-15-15 <i>Rate/Coupon: 5.0</i> <i>Matures: 02/15/2025</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest,	\$5,001 - \$15,000
6.193	HARLINGEN ECONOMIC DEV CORP TEX SALES TA X REV REF-A <i>Rate/Coupon: 5.0</i> <i>Matures: 02/15/2025</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.194	ILLINOIS MUN ELEC AGY PWR SUPPLY SYS REV REF-A <i>Rate/Coupon: 5.0</i> <i>Matures: 02/01/2024</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest,	\$5,001 - \$15,000
6.195	IRVING TEX WTRWKS & SWR REV REF-B <i>Rate/Coupon: 5.0</i> <i>Matures: 08/15/2026</i>	Government Securities Municipal Security	Self	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
6.196	LAKE CNTY ILL SCH DIST NO 112 NORTH SHORE <i>Rate/Coupon: 5.0</i> <i>Matures: 06/01/2023</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$1,001 - \$2,500
6.197	MADISON WIS MET SCH DIST SER-A <i>Rate/Coupon: 2.0</i> <i>Matures: 03/01/2027</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$201 - \$1,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.198	MARICOPA CNTY ARIZ UN HIGH SCH DIST NO 210 PHOENIX <i>Rate/Coupon: 5.0 Matures: 07/01/2022</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$201 - \$1,000
6.199	MARICOPA CNTY ARIZ UN HIGH SCH DIST NO 210 PHOENIX <i>Rate/Coupon: 5.0 Matures: 07/01/2023</i>	Government Securities Municipal Security	Self	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
6.200	MARICOPA CNTY ARIZ UN HIGH SCH DIST NO 210 PHOENIX <i>Rate/Coupon: 5.0 Matures: 07/01/2024</i>	Government Securities Municipal Security	Self	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
6.201	MASSACHUSETTS ST GENL OBLIG REF-A-2 <i>Rate/Coupon: 5.0 Matures: 01/01/2025</i>	Government Securities Municipal Security	Self	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
6.202	MC PHERSON KANS WTR SYS REV REF-A <i>Rate/Coupon: 3.0 Matures: 10/01/2026</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$201 - \$1,000
6.203	MISSISSIPPI DEVELOPMENT BANK SPL OBLIG REV REF <i>Rate/Coupon: 5.0 Matures: 01/01/2024</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest,	\$5,001 - \$15,000
6.204	MOUNT PLEASANT TEX INDPT SCH DIST ID 08-01-20 <i>Rate/Coupon: 3.0 Matures: 02/15/2026</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest,	\$2,501 - \$5,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.205	NORTH OMLSTED OHIO CAP IMPT AND EQUIP GENL OBLIG <i>Rate/Coupon: 3.0 Matures: 12/1/2023</i>	Government Securities Municipal Security	Self	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
6.206	OKLAHOMA CNTY OKLA FIN AUTH LEASE DEER CREEK PUB SCH REV <i>Rate/Coupon: 5.0 Matures: 12/01/2022</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$201 - \$1,000
6.207	OKLAHOMA CNTY OKLA INDPT SCH DIST NO 001 PUTNAM <i>Rate/Coupon: 4.0 Matures: 01/01/2024</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$201 - \$1,000
6.208	OKLAHOMA CNTY OKLA FIN AUTH EDL FACS LEASE REV-A <i>Rate/Coupon: 5.0 Matures: 09/01/2024</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$201 - \$1,000
6.209	OKLAHOMA CNTY OKLA INDPT SCH DIST NO 001 <i>Rate/Coupon: 2.0 Matures: 04/01/2025</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$201 - \$1,000
6.210	OLATHE KANS <i>Rate/Coupon: 2.67 Matures: 10/01/2027</i>	Government Securities Municipal Security	Self	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
6.211	SHAKOPEE MINN INDPT SCH DIST NO 720 GENL OBLIG REF- A <i>Rate/Coupon: 5.0 Matures: 02/01/2026</i>	Government Securities Municipal Security	Self	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500

	Asset	Asset Type	Owner	Value	Income Type	Income
6.212	OLENTANGY LOC SCH DIST OHIO REF-A <i>Rate/Coupon: 4.0</i> <i>Matures: 12/01/2027</i>	Government Securities Municipal Security	Self	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
6.213	SOUTH CAROLINA ST <i>Rate/Coupon: 5.0</i> <i>Matures: 04/01/2022</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$201 - \$1,000
6.214	THORNTON COLO CTFS PARTN <i>Rate/Coupon: 5.0</i> <i>Matures: 12/01/2023</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest,	\$2,501 - \$5,000
6.215	VIRGINIA ST HSG DEV AUTH RENTAL HSG REV-A <i>Rate/Coupon: 2.1</i> <i>Matures: 03/01/2025</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	None,	None (or less than \$201)
6.216	WASHINGTON D C MET AREA TRAN AUTH GROSS REV-B <i>Rate/Coupon: 5.0</i> <i>Matures: 07/01/2026</i>	Government Securities Municipal Security	Self	\$15,001 - \$50,000	Interest,	\$1,001 - \$2,500
6.217	WAUKESHA WIS PROM NTS SER-A <i>Rate/Coupon: 4.0</i> <i>Matures: 10/01/2022</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$201 - \$1,000
6.218	WILL & KENDALL CNTYS ILL CMNTY CONS SCH GENL OBLIG REF-A <i>Rate/Coupon: 4.0</i> <i>Matures: 01/01/2024</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest,	\$5,001 - \$15,000
6.219	WINSTON SALEM N C GENL OBLIG REF-D <i>Rate/Coupon: 5.0</i> <i>Matures: 06/01/2026</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest,	\$5,001 - \$15,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.220	WYANDOTTE CNTY/KANS CITY KANS UNI GOVT PUB BLDG COMMN REV-A <i>Rate/Coupon: 5.0</i> <i>Matures: 08/01/2022</i>	Government Securities Municipal Security	Self	None (or less than \$1,001)	Interest,	\$201 - \$1,000
6.221	MACKAY MUNICIPAL CREDIT OPPORTUNITIES HL FUND, L.P. <i>Description: EIF Focused on Municipal Bond Market (New York, NY)</i>	Other Securities	Self	\$500,001 - \$1,000,000	Excepted Investment Fund,	None (or less than \$201)
6.222	NORTH HAVEN CREDIT PARTNERS III <i>Description: EIF Specializing in Corporate Equity Debt (New York, NY)</i>	Other Securities	Self	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$50,001 - \$100,000
6.223	<u>AAON</u> - Aaon Inc	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	\$2,501 - \$5,000
6.224	<u>ADUS</u> - Addus Homecare Corp	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.225	Adyen NV (ADYEY)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
6.226	<u>AGTI</u> - Agiliti Inc	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.227	<u>ABNB</u> - Airbnb Inc Cl A	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
6.228	<u>GOOG</u> - Alphabet Cl C	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.229	<u>ALTR</u> - Altair Engineering Inc Cl A	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	\$1,001 - \$2,500
6.230	<u>AMSF</u> - Amerisafe Inc	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
6.231	<u>AMAT</u> - Applied Materials	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
6.232	<u>ATR</u> - Aptargroup	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
6.233	<u>LFG</u> - Archaea Energy Inc. Class A Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
6.234	<u>ARGX</u> - Argenx Se ADR	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.235	<u>ANET</u> - Arista Networks Inc	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
6.236	<u>AHT</u> - Ashtead Group Plc	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
6.237	<u>AZPN</u> - Aspen Technology	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.238	<u>AZN</u> - Astrazeneca Plc ADR	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.239	<u>ATMU</u> - Atmus Filtration Technologies Inc	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
6.240	<u>AZEK</u> - The Azek Company Cl A	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	\$2,501 - \$5,000
6.241	<u>AVGO</u> - Broadcom Ltd	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
6.242	<u>BC</u> - Brunswick Corp	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
6.243	<u>CDNS</u> - Cadence Design Sys	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
6.244	<u>CARG</u> - Cargurus Inc Cl A	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.245	<u>CASY</u> - Casey's General Stor	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
6.246	<u>CBZ</u> - Cbiz Inc	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$1,001 - \$2,500

	Asset	Asset Type	Owner	Value	Income Type	Income
6.247	CHEF - The Chefs Warehouse	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
6.248	CHH - Choice Hotels International	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.249	CWAN - Clearwater Analytics Holdings Inc Cl A	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.250	CBU - Community Bank System	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.251	CNS - Cohn & Steers Inc	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.252	CBSH - Commerce Bancshares	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.253	Compagnie Financiere Richemont Un-sponsored Switzerland ADR (CFRUY)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
6.254	CNM - Core & Main Inc Cl A	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	\$1,001 - \$2,500
6.255	CSWI - Csw Industrials Inc	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	\$1,001 - \$2,500
6.256	CUBE - Cubesmart	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.257	DLB - Dolby Laboratories Common Stock	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$5,001 - \$15,000
6.258	D - Dominion Energy, Inc. Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.259	DORM - Dorman Products Inc	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.260	EGP - Eastgroup Properties	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.261	<u>EMBC</u> - Embecta Corp	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
6.262	<u>NVST</u> - Envista Holdings Corp	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.263	<u>ENV</u> - Investnet Inc	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
6.264	<u>EPRT</u> - Essential Properties Realty Trust Inc	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
6.265	<u>EL</u> - Estee Lauder Companies	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.266	<u>EEFT</u> - Euronet Worldwide	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
6.267	<u>XOM</u> - Exxon Mobil Corp	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$15,001 - \$50,000
6.268	<u>RACE</u> - Ferrari N.V.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
6.269	<u>FIVE</u> - Five Below Inc	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$201 - \$1,000
6.270	<u>HOG</u> - Harley-Davidson Inc	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.271	<u>HAYW</u> - Hayward Holdings Inc	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
6.272	<u>HDB</u> - Hdfc Bank Ltd ADR	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
6.273	<u>HRI</u> - Herc Holdings Inc	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.274	Hermes Intl S A Unsponsored France ADR (HESAY)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
6.275	<u>HXL</u> - Hexcel Corp	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	\$1,001 - \$2,500
6.276	<u>ICUI</u> - I C U Medical Inc	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
6.277	<u>IDA</u> - Idacorp Inc	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.278	<u>IBTX</u> - Independent Bank Group	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.279	<u>ITGR</u> - Integer Holdings Corp	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
6.280	<u>IART</u> - Integra Lifesciences	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.281	<u>INTU</u> - Intuit Inc	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$1,001 - \$2,500
6.282	<u>JJSF</u> - J J Snack Foods	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
6.283	<u>L Oreal</u> ADR (LRLCY)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
6.284	<u>LRCX</u> - Lam Research Corp	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
6.285	<u>LSTR</u> - Landstar System	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	\$1,001 - \$2,500
6.286	<u>LCII</u> - Lci Industries	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
6.287	<u>LOW</u> - Lowe's Companies	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
6.288	<u>LULU</u> - Lululemon Athletica	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
6.289	<u>LVMH</u> Moet Hennessy Louis Vuitton SA Unsponsored ADR (LVMUY)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
6.290	<u>MA</u> - Mastercard Inc	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
6.291	<u>MELI</u> - Mercadolibre Inc	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.292	<u>MIDD</u> - Middleby Corp	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
6.293	<u>MTX</u> - Minerals Technologies Inc	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.294	<u>MBLY</u> - Mobileye Global Inc CI A	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.295	<u>MDB</u> - MongoDB Inc CI A	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
6.296	<u>EYE</u> - National Vision Holdings Inc	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000
6.297	<u>NATI</u> - Natl Instruments Cor	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
6.298	<u>NEOG</u> - Neogen Cp	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	\$1,001 - \$2,500
6.299	<u>NTST</u> - Netstreit Corp	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.300	<u>NVO</u> - Novo Nordisk A/S ADR	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
6.301	<u>NBIX</u> - Neurocrine Bioscienc	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	None (or less than \$201)
6.302	<u>OGS</u> - One Gas Inc	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.303	<u>PZZA</u> - Papa John's Intl	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.304	<u>PFGC</u> - Performance Food Group Company	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	\$1,001 - \$2,500
6.305	<u>PERNOD RICARD S A Sponsored ADR (PRNDY)</u>	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
6.306	<u>PINC</u> - Premier Inc CI A	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.307	<u>PRGS</u> - Progress Software	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
6.308	<u>KWR</u> - Quaker Houghton Common Stock	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.309	<u>RCM</u> - R1 Rcm Inc	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.310	<u>RTO</u> - Rentokil Initial Plc ADR	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$1,001 - \$2,500
6.311	<u>REXR</u> - Rexford Industrial Realty Inc	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.312	<u>RH</u> - Rh Common Stock	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	\$201 - \$1,000
6.313	<u>RLI</u> - Rli Corp	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	\$1,001 - \$2,500
6.314	<u>RYAN</u> - Ryan Specialty Hldgs Inc	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.315	<u>SPGI</u> - S&P Global Inc	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$2,501 - \$5,000
6.316	<u>SAIA</u> - Saia Inc	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
6.317	<u>SIGI</u> - Selective Ins Group	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	\$1,001 - \$2,500
6.318	<u>NOW</u> - Servicenow Inc	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
6.319	<u>SHW</u> - Sherwin-Williams Company	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
6.320	<u>SNOW</u> - Snowflake Inc Cl A	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.321	<u>SSB</u> - Southstate Corp	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.322	<u>SPSC</u> - Sps Commerce Inc	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	\$2,501 - \$5,000
6.323	<u>SF</u> - Stifel Financial Corp	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.324	<u>STOR</u> - STORE Capital Corporation Common Stock	Corporate Securities Stock	Self	None (or less than \$1,001)	Capital Gains,	\$201 - \$1,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.325	<u>SYK</u> - Stryker Corp	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
6.326	<u>TRNO</u> - Terreno Realty Corp	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
6.327	<u>TSLA</u> - Tesla Inc	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	\$5,001 - \$15,000
6.328	<u>TXRH</u> - Texas Roadhouse Inc	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
6.329	<u>USPH</u> - U.S. Physical Therapy	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	\$2,501 - \$5,000
6.330	<u>U</u> - Unity Software Inc	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.331	<u>VRTX</u> - Vertex Pharmaceutical	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
6.332	<u>VC</u> - Visteon Corp	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
6.333	<u>WSO</u> - Watsco Inc	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$15,001 - \$50,000
6.334	<u>WFC</u> - Wells Fargo & Company	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
6.335	<u>WWD</u> - Woodward Inc	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends, Capital Gains,	\$1,001 - \$2,500
6.336	<u>WH</u> - Wyndham Hotels & Resorts Inc	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
6.337	<u>AVUV</u> - Avantis U.S. Small Cap Value ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$100,001 - \$250,000	Dividends, Capital Gains,	\$1,001 - \$2,500
6.338	<u>EFA</u> - EAFE Ishares MSCI ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$100,001 - \$250,000	Dividends, Capital Gains,	\$15,001 - \$50,000
6.339	<u>IWF</u> - Russell 1000 Growth Ishares ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.340	IWD - Russell 1000 Value Ishares ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
6.341	MDY - S&P Midcap 400 SPDR	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
6.342	MISHX - Ab Corporate Shares - Municipal Income Shares	Mutual Funds Mutual Fund	Self	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
6.343	LPXIX - Cohen & Steers Low Duration Preferred and Income	Mutual Funds Mutual Fund	Self	\$500,001 - \$1,000,000	Dividends, Capital Gains,	\$2,501 - \$5,000
6.344	EIPCX - Parametric Commodity Strategy Fund Institutional	Mutual Funds Mutual Fund	Self	\$100,001 - \$250,000	Dividends, Capital Gains,	\$5,001 - \$15,000
6.345	LFRIX - Lord Abbett Inv TR Lord Abbett Floating Rate Fd	Mutual Funds Mutual Fund	Self	\$250,001 - \$500,000	Dividends, Capital Gains,	\$5,001 - \$15,000
6.346	VCRIX - Mainstay CBRE Global Infrastructure Fund Class I	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
6.347	US Treasury Bill <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Self	\$50,001 - \$100,000	Interest,	\$2,501 - \$5,000
6.348	US Treasury Note <i>Rate/Coupon: None</i> <i>Matures: None</i>	Government Securities US Treasury/Agency Security	Self	\$100,001 - \$250,000	Interest,	\$2,501 - \$5,000
6.349	MASSACHUSETTS ST PORT AUTH REV-E <i>Rate/Coupon: 5.0</i> <i>Matures: 07/01/2034</i>	Government Securities Municipal Security	Self	\$15,001 - \$50,000	Interest,	\$2,501 - \$5,000

	Asset	Asset Type	Owner	Value	Income Type	Income
6.350	MICHIGAN FIN AUTH REV ID 10-30-13 <i>Rate/Coupon: 4.0</i> <i>Matures: 12/01/2039</i>	Government Securities Municipal Security	Self	\$250,001 - \$500,000	Interest,	\$5,001 - \$15,000
6.351	NEW YORK N Y <i>Rate/Coupon: 5.0</i> <i>Matures: 08/01/2034</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest,	\$5,001 - \$15,000
6.352	NEW YORK N Y CITY TRANSITIONAL FIN AUTH <i>Rate/Coupon: 4.0</i> <i>Matures: 02/01/2043</i>	Government Securities Municipal Security	Self	\$50,001 - \$100,000	Interest,	None (or less than \$201)
6.353	PORTLAND ORE CMNTY COLLEGE DIST <i>Rate/Coupon: 5.0</i> <i>Matures: 06/15/2037</i>	Government Securities Municipal Security	Self	\$50,001 - \$100,000	Interest,	\$1,001 - \$2,500
6.354	WASHINGTON ST GENL OBLIG SER-A <i>Rate/Coupon: 5.0</i> <i>Matures: 08/01/2035</i>	Government Securities Municipal Security	Self	\$100,001 - \$250,000	Interest,	\$5,001 - \$15,000
6.355	Blackstone Private Credit Fund (BCRED) <i>Description: EIF Specializing in Corporate Equity Debt (New York, NY)</i>	Other Securities	Self	\$500,001 - \$1,000,000	Excepted Investment Fund,	\$15,001 - \$50,000
6.356	North Haven Private Income Fund LLC <i>Description: EIF Specializing in Corporate Equity Debt (New York, NY)</i>	Other Securities	Self	\$250,001 - \$500,000	Excepted Investment Fund,	\$5,001 - \$15,000
6.357	Morgan Stanley (Pepper Pike, OH) <i>Type: Money Market Account,</i>	Bank Deposit	Self	\$1,000,001 - \$5,000,000	None,	None (or less than \$201)
7	Morgan Stanley Brokerage Account	Brokerage/Managed Account	Self			

	Asset	Asset Type	Owner	Value	Income Type	Income
7.1	Morgan Stanley (Pepper Pike, OH) <i>Type: Money Market Account,</i>	Bank Deposit	Self	\$1,001 - \$15,000	Interest,	None (or less than \$201)
7.2	REGL - ProShares S&P MidCap 400 Dividend Aristocrats ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
7.3	SMDV - ProShares Russell 2000 Dividend Growers ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.4	USMV - iShares MSCI USA Min Vol Factor ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$1,001 - \$2,500
7.5	VOOG - Vanguard S&P 500 Growth ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
7.6	VOOV - Vanguard S&P 500 Value ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
7.7	ABTYX - Ab Municipal Income Fund Inc. - High Income Mun	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Dividends,	\$2,501 - \$5,000
7.8	AMKIX - American Century Emerging Markets Fund - I Class	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.9	ASPZX - The Alger Fds II Alger Spectra Fund Class Z	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$201 - \$1,000
7.10	CPXIX - Cohen & Steers Preferred Securities and Income F	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Dividends, Capital Gains,	\$2,501 - \$5,000
7.11	DDVIX - Delaware Value Fd CI I	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$201 - \$1,000

	Asset	Asset Type	Owner	Value	Income Type	Income
7.12	FCPIX - Fidelity Advisor International Capital Appreciat	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends, Capital Gains,	None (or less than \$201)
7.13	SBTYX - Western Asset Intermediate-Term Municipals Fund	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
7.14	STWTX - Hartford Schroders Tax-Aware Bond Fund [Class I]	Mutual Funds Mutual Fund	Self	None (or less than \$1,001)	Dividends, Capital Gains,	\$1,001 - \$2,500
7.15	AMCX - AMC Networks Inc. - Class A Common Stock	Corporate Securities Stock	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
7.16	MSGE - Madison Square Garden Entertainment Corp. Class A	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$2,501 - \$5,000
7.17	Ironwood Multi Strategy Fund <i>Description: Hedge Fund focused on value, equity credit securities (San Francisco, CA)</i>	Other Securities	Self	\$50,001 - \$100,000	Excepted Investment Fund,	\$2,501 - \$5,000
7.18	SPHR - Sphere Entertainment Co. Class A Common Stock	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
7.19	SPHERE ENTERTAINMENT CO CL RST	Corporate Securities Stock	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
7.20	GSFTX - Columbia Dividend Income Fund Class I	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
7.21	SEEGX - Jpmorgan Large Cap Growth Fund I Class	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
8	Morgan Stanley Joint Brokerage Account	Brokerage/Managed Account	Joint			
8.1	<u>MSGE</u> - Madison Square Garden Entertainment Corp. Class A	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	\$1,001 - \$2,500
8.2	<u>SPHR</u> - Sphere Entertainment Co. Class A Common Stock	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
8.3	<u>SPHERE ENTERTAINMENT CO CL RST</u>	Corporate Securities Stock	Joint	\$1,001 - \$15,000	None,	None (or less than \$201)
9	Morgan Stanely SEPP IRA	Retirement Plans IRA	Self			
9.1	Morgan Stanley (Pepper Pike, OH) <i>Type: Money Market Account,</i>	Bank Deposit	Self	\$1,001 - \$15,000	Interest,	None (or less than \$201)
9.2	<u>DDVAX</u> - Delaware Value Fd Cl A	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
9.3	<u>SGOVX</u> - First Eagle Overseas Fund Class A	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
9.4	<u>JDCAX</u> - Janus Henderson Forty Fund - A Shares	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
9.5	<u>PGOAX</u> - PGIM Jennison Small Company Fund Class A	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
9.6	<u>FTP S&P Dividend Aristocrats Target 25, 1st Qtr 2021</u>	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
9.7	GUGG Dividend Strength Portfolio 44	Mutual Funds Exchange Traded Fund/Note	Self	\$15,001 - \$50,000	None,	None (or less than \$201)
10	Morgan Stanley UTMA 1	UGMA/UTMA	Child			
10.1	Morgan Stanley (Pepper Pike, OH) <i>Type: Money Market Account,</i>	Bank Deposit	Child	\$1,001 - \$15,000	Interest,	None (or less than \$201)
10.2	AMC NETWORKS INC CL A RST	Corporate Securities Stock	Child	\$15,001 - \$50,000	None,	None (or less than \$201)
10.3	AOA - iShares Core Aggressive Allocation ETF	Mutual Funds Exchange Traded Fund/Note	Child	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
10.4	ACLAX - American Century Mid Cap Value Fd A CI	Mutual Funds Mutual Fund	Child	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
10.5	AEMMX - American Century Emerging Markets Fd- A Class	Mutual Funds Mutual Fund	Child	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
10.6	DDVAX - Delaware Value Fd CI A	Mutual Funds Mutual Fund	Child	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
10.7	HYSAX - PGIM Short Duration High Yield Income Fund Class	Mutual Funds Mutual Fund	Child	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
10.8	MSFBX - Msif Global Franchise Class A	Mutual Funds Mutual Fund	Child	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
10.9	PONAX - Pimco Income Fund Class A	Mutual Funds Mutual Fund	Child	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
10.10	PRJCX - PGIM Jennison Global Opportunities Fund Class C	Mutual Funds Mutual Fund	Child	\$15,001 - \$50,000	Dividends,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
10.11	<u>STABX</u> - Pioneer Short Term Income Fund Class A	Mutual Funds Mutual Fund	Child	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
10.12	<u>TSG LX</u> - Tiaa-Cref Lifestyle Growth Fd Retail	Mutual Funds Mutual Fund	Child	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
10.13	<u>WAPAX</u> - Western Asset Core Plus Bond Fund Class A	Mutual Funds Mutual Fund	Child	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
10.14	<u>SPHERE ENTERTAINMENT CO CL RST</u>	Corporate Securities Stock	Child	\$1,001 - \$15,000	None,	None (or less than \$201)
11	<u>Morgan Stanley UTMA 2</u>	UGMA/UTMA	Child			
11.1	<u>Morgan Stanley</u> (Pepper Pike, OH) Type: Money Market Account,	Bank Deposit	Child	None (or less than \$1,001)	Interest,	None (or less than \$201)
11.2	<u>MSGE</u> - Madison Square Garden Entertainment Corp. Class A	Corporate Securities Stock	Child	\$1,001 - \$15,000	None,	None (or less than \$201)
11.3	<u>ACLAX</u> - American Century Mid Cap Value Fd A CI	Mutual Funds Mutual Fund	Child	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.4	<u>AEMMX</u> - American Century Emerging Markets Fd- A Class	Mutual Funds Mutual Fund	Child	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.5	<u>DDVAX</u> - Delaware Value Fd CI A	Mutual Funds Mutual Fund	Child	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.6	<u>SGENX</u> - First Eagle Global Fund Class A	Mutual Funds Mutual Fund	Child	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
11.7	<u>HYSAX</u> - PGIM Short Duration High Yield Income Fund Class	Mutual Funds Mutual Fund	Child	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

	Asset	Asset Type	Owner	Value	Income Type	Income
11.8	<u>MSFBX</u> - Msif Global Franchise Class A	Mutual Funds Mutual Fund	Child	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
11.9	<u>PONAX</u> - Pimco Income Fund Class A	Mutual Funds Mutual Fund	Child	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
11.10	<u>STABX</u> - Pioneer Short Term Income Fund Class A	Mutual Funds Mutual Fund	Child	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
11.11	<u>WAPAX</u> - Western Asset Core Plus Bond Fund Class A	Mutual Funds Mutual Fund	Child	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
11.12	<u>SPHR</u> - Sphere Entertainment Co. Class A Common Stock	Corporate Securities Stock	Child	\$1,001 - \$15,000	None,	None (or less than \$201)
11.13	<u>SPHR</u> - Sphere Entertainment CO	Corporate Securities Stock	Child	\$1,001 - \$15,000	None,	None (or less than \$201)
12	Center Six Inc <i>Company:</i> Center Six Inc (Chardon, OH) <i>Description:</i> Real Estate	Business Entity General Partnership	Self			
12.1	Multi-Family Residential Apartments <i>Description:</i> Multi-Family Residential Apartments (Chardon, OH)	Real Estate Residential	Self	None (or less than \$1,001)	Rent/Royalties, Capital Gains,	\$50,001 - \$100,000
13	306 Music LLC <i>Company:</i> 306 Music LLC (Chardon, OH) <i>Description:</i> Real Estate	Business Entity Limited Liability Company (LLC)	Self			
13.1	Land <i>Description:</i> Vacant Land (Russell, OH)	Real Estate Unimproved Land	Self	None (or less than \$1,001)	Capital Gains,	\$50,001 - \$100,000

	Asset	Asset Type	Owner	Value	Income Type	Income
14	Rosa Cha W Syndicate LLC <i>Company:</i> Rosa Cha W Syndicate LLC (Unionville, PA) <i>Description:</i> Horse Co-Ownership	Business Entity Limited Liability Company (LLC)	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
15	Blackfoot Mystery Syndicate LLC <i>Company:</i> Blackfoot Mystery Syndicate LLC (Unionville, PA) <i>Description:</i> Horse Co-Ownership	Business Entity Limited Liability Company (LLC)	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
16	Ray Price Syndicate LLC <i>Company:</i> Ray Price Syndicate LLC (Unionville, PA) <i>Description:</i> Horse Co-Ownership	Business Entity Limited Liability Company (LLC)	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
17	Luke 140 Syndicate LLC <i>Company:</i> Luke 140 Syndicate LLC (Unionville, PA) <i>Description:</i> Horse Co-Ownership	Business Entity Limited Liability Company (LLC)	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
18	MLB Pension Plan for Non-Uniformed Personnel	Retirement Plans Defined Benefit Pension Plan	Self	Unascertainable	None,	None (or less than \$201)
19	OPERS Pension Plan	Retirement Plans Defined Benefit Pension Plan	Self	\$100,001 - \$250,000	None,	None (or less than \$201)
20	Thrasher Dinsmore & Dolan 401k	Retirement Plans Defined Contribution Pension Plan	Self			

	Asset	Asset Type	Owner	Value	Income Type	Income
20.1	AIVSX - American Funds Investment CO of America A	Mutual Funds Mutual Fund	Self	\$250,001 - \$500,000	None,	None (or less than \$201)
20.2	ANWPX - New Perspective Fund Class A Shares	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	None,	None (or less than \$201)
21	7th Avenue Management Ltd <i>Company: 7th Avenue Management Ltd (Chardon, OH) Description: Real Estate - No Holdings</i>	Business Entity Limited Partnership (LP)	Self	None (or less than \$1,001)	None,	None (or less than \$201)
22	422 Company Ltd <i>Company: 422 Company Ltd (Chagrin, Falls) Description: Real Estate</i>	Business Entity Limited Partnership (LP)	Self			
22.1	Commercial Building <i>Description: Commercial Building (Chagrin Falls, OH)</i>	Real Estate Commercial	Self	None (or less than \$1,001)	None,	None (or less than \$201)

Part 4a. Periodic Transaction Report Summary

Part 4b. Transactions

Not required

Part 5. Gifts

Not required

Part 6. Travel**Not required****Part 7. Liabilities**

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than \$10,000 at any time or a revolving charge account whose value exceeded \$10,000 as of the last day of the reporting period? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2021	Self	Line of Credit	-	.8320% (Ongoing)	\$5,000,001 - \$25,000,000	Morgan Stanley Private Bank, National Association Pepper Pike, OH	n/a

Part 8. Positions

Did you hold any reportable outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Jan 1997 to present	Partner	Thrasher, Dinsmore & Dolan Chardon, OH	Firm	n/a
2	Jan 2017 to present	Other (State Senator)	Ohio State Senate Columbus, OH	Other (Ohio State Senate)	n/a
3	Jan 2000 to Jun 2021	Director	Cleveland Indians Baseball Company Inc Cleveland, OH	Company	n/a
4	Jul 2021 to present	Director	Cleveland Guardians Baseball Company Inc Cleveland, OH	Company	n/a
5	Jul 2021 to present	Director	Cleveland Guardians Baseball Company Holdings Inc Cleveland, OH	Company	n/a
6	Dec 1993 to present	Trustee	CFD Children's Trust 2009 FBO Marianne Yorktown Heights, NY	Trust	n/a
7	Dec 1993 to present	Trustee	CFD Children Trust FBO Thomas C. Dolan Woodbury, NY	Trust	n/a
8	Apr 2011 to present	Trustee	CFD Trust 7C Chardon, OH	Trust	n/a
9	Mar 2020 to present	Trustee	David Dolan 2020 Trust Chardon, OH	Trust	n/a
10	Jan 1998 to Feb 2023	Officer	7th Avenue Management Ltd Chardon, OH	Company	n/a
11	Jan 1998 to Feb 2023	Officer	422 Company Ltd Chagrin Falls, OH	Company	n/a

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms	Comments
1	Aug 1990	Ohio Public Employee Retirement System Columbus, OH	Continuing participation in an employee benefit plan	Monthly pension from Ohio government service, amount based on age of retirement	n/a
2	Jan 1997	Thrasher Dinsmore & Dolan 401k Chardon, OH	Continuing participation in an employee benefit plan	401k Account	n/a
3	Jan 2000	MLB New York, NY	Continuing participation in an employee benefit plan	\$2,625/Month Pension at Retirement	n/a

Part 10. Compensation

Only required if you are a candidate or this is your first report: Did any person or entity pay more than \$5,000 to you or for services provided by you? **Yes**

#	Source	Duties	Comments
1	Kolleda Family Euclid, OH	Legal Services	n/a
2	Rosanna Russo Mayfield Heights, OH	Legal Services	n/a

Attachments & Comments

No attachments added.

No comments added.